2.1.2 RECRUITING AND HIRING OF REGULAR STAFF

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This policy reviews all phases of the recruiting and hiring process and the corresponding areas of responsibility.

Authority:
Approved by the Vice President for Human Resources.

Applicability:
All regular staff of the University and SLAC (as defined in Admin Guide Memo 2.2.2 [1]: Definitions), with some limitations:

- **Bargaining Unit**—For policies specific to employees covered by collective bargaining agreements, see agreements at Labor Relations & Collective Bargaining [2].
- **SLAC National Accelerator Laboratory (SLAC)**—Some procedures in this policy do not apply at SLAC. For procedures specific to SLAC, contact SLAC's Human Resources Department.
- **Hospital**—"Hospital" refers to both Stanford Health Care (SHC) and Lucile Packard Children's Hospital (LPCH). The hospitals are separate employers, each with its own policies and procedures. Contact SHC or LPCH Human Resources.

Purpose:
To provide policies and guidance that support recruiting and hiring a diverse and talented workforce. To accomplish this, Stanford strongly encourages hiring supervisors to develop the broadest possible applicant pool allowing the best and the brightest candidates—internal and external—to fairly compete for all open positions. Through fair and open competition and application of equitable evaluation criteria, Stanford hires the best available candidates. To meet University policies and practices and comply with federal and state regulations.

1. General Recruiting and Hiring Responsibilities

a. **University Human Resources**
University Human Resources is responsible for developing, monitoring and overseeing employment policies and providing the University with support services necessary to attain staffing objectives.

b. **Local Human Resources Manager**
Local Human Resources Manager is the person responsible for administering recruiting and hiring policy for each organization.

c. **Hiring Supervisors**
Hiring Supervisors are those faculty and staff designated to make staff hiring decisions. Hiring supervisors are responsible for making such decisions in accordance with the policies and procedures established by the University and set forth in this Guide Memo. Each hiring supervisor is accountable for his/her actions in matters relating to applicable sections of this policy, compliance with federal and state regulations governing employment and performance in achieving affirmative action program goals. Questions on these policies that cannot be resolved at the local level should be referred to the Vice President of Human Resources.

Guide to Supervisors

Typical responsibilities for University Human Resources

- Conducts full-service recruiting and recruitment programs
- Develops recruitment and hiring-related programs
- Consults on recruitment strategies, compliance, diversity, selection and on-boarding
- Administers online recruiting systems and websites
- Ensures regulatory compliance; administers recruiting and hiring policy
- Oversees contingent staffing, international hiring, background checks, third party management (including executive search firms)

Typical responsibilities for Local Human Resources Managers

- Represents needs of the unit to University HR
- Develops programs to support local recruiting needs
- Consults on, and facilitates, recruiting process within the local unit and between the local unit and University HR
- Conducts and coordinates position searches, including selection and use of employment search firms
- Emphasizes the need to ensure affirmative action goals are considered by hiring supervisor
- Implements and administers recruitment and hiring policies

Typical responsibilities for Hiring Supervisors

- Makes selection and hiring decisions within the stipulated policies and parameters, including reviewing resumes, interviewing candidates, conducting reference checks, and documenting process and decisions
- Implements recruiting and staffing policies, programs and processes locally
- Contributes toward the achievement of affirmative action goals

2. Recruiting and Hiring Policies

a. Equal Employment Opportunity & Affirmative Action

In accordance with all applicable law, it is the policy of University to:

- Comply with all affirmative action requirements, and
- Provide equal employment opportunities for all applicants and employees.
Guide to Supervisors

All employees in a position to make hiring decisions ("hiring supervisors") are expected to comply with the "Equal Employment Opportunity Statement" distributed annually by the University’s Diversity & Access Office and Guide Memo 2.2.1 [3]: General Personnel Policies’ provision on “Equal Employment Opportunity and Affirmative Action Policy.”

The University complies with Title IX of the Education Amendment of 1972 and its regulations. The Title IX Compliance Officer is the Director of Diversity & Access Office. If you believe the University is not in compliance with Title IX and its regulations, contact the Title IX Coordinator at 585 Capistrano Way, Stanford, CA 94305-8230. Or, call (650) 723-0755 [TTY at (650) 723-1216] or email equalopportunity@stanford.edu [4].

b. Employment Rights and Preferences of Former and Current Regular Staff
The University gives current and former regular staff certain reemployment rights and preferences described below:

(1) Right to Reemployment or Return to Active Employment
(a) Definition
The right of a former regular staff to reemployment or return to active employment in his/her former University position to the extent required by law.
(b) Who Qualifies
Reemployment rights extend to former regular staff who:

- Terminated employment to serve in the military. A department that receives an inquiry about reemployment of a former employee returning from the Armed Services should consult with an Employee Relations Representative.
- Returns from a leave of absence on or before the agreed upon return date (e.g., military, childbirth, family, medical) when the leave was formally requested and granted in accordance with University policy.

(2) Hiring Preference
The University is committed to hiring the best qualified candidate for the job. When the qualifications stated in the job listing and predetermined job-related selection criteria are used to determine each candidate's qualifications, and more than one qualified candidate competes for a job, the employment offer must be extended first to the candidate who has preference according to 3 below.

(3) Order of preference
Follow this order when considering substantially equal candidates:
(a) First Preference:
Regular staff who have been given written notice of permanent layoff or who are permanently laid off under the policies in Guide Memo 2.1.17 [5]: Layoffs. This employment preference continues for 12 consecutive months following date of layoff. Layoff preference also applies to regular staff whose positions are being eliminated and who has been informed that they will be laid off if they do not obtain alternative employment in the same department/administrative unit.

(b) Second Preference:
Current regular staff who meet the qualifications for the position and for whom placement in this job would constitute a promotion, or who have successfully completed a formal training program for the specific job.

(c) Third Preference:
Current temporary or casual employees on the Stanford payroll applying for a position in the same work group reporting to the same supervisor where the employee currently works in a temporary or casual role.

Guide to Supervisors
Policy conflicts may occur between consideration of the hiring preferences and consideration of affirmative action goals. In these circumstances, consult with the local Human Resources Office before making an offer of employment.

c. Employment of Related Persons
Employment by a related person in any position (e.g. regular staff, faculty, other teaching, temporary, casual, third party, etc.) within an organizational unit can occur only with the approval of the responsible Vice Provost, Vice President (or similar level equivalent to the highest administrative person within the organizational unit), or his/her designee. Under no circumstances may a supervisor hire or approve any compensation action for any employee to whom the supervisor is related. An individual may not supervise, evaluate the job performance, or approve compensation for any individual with whom the supervisor is related.

Even when the criteria discussed here are met, employment of a related person in any position within the organization must have the approval of the local human resources office, in addition to the approval of the hiring manager’s supervisor, including faculty supervisors.
Guide to Supervisors

Employment by a related person in any position (e.g. regular staff, faculty, other teaching, temporary, casual, third party, etc.) within an organizational unit can result in an actual or perceived conflict of interest and is strongly discouraged.

The university recognizes that relationships may develop in the workplace. The university expects its employees to disclose relationships as appropriate, and specifically in the case of direct reporting relationships and/or potential conflict of interest situations. Failure to properly disclose a relationship or family connection may lead to corrective action measures being taken.

All of the above requirements also apply to employing and hiring those with consensual sexual or romantic relationships. In addition, consensual sexual or romantic relationships must also be disclosed in compliance with section 4 in Guide Memo 1.7.2 [6]: Consensual Sexual or Romantic Relationships In the Workplace and Educational Setting.

Definition of Related Person—The employee’s spouse; same-sex domestic partner; children of the employee, spouse or same-sex domestic partner; parents and parents-in-law; parent surrogate; brothers and sisters of the employee; grandparents and grandchildren of the employee; and, any other dependent family member who lived in the employee’s residence.

d. Rehiring Former Staff
Regardless of the reason for rehire, all former staff must serve a new Trial Period, including those former staff who meet the reinstatement criteria set forth below.

(1) Reinstating the Hire Date:
Former regular staff will have their hire date reinstated if they left the University in good standing and meet these timelines:
(a) Former regular staff that has been laid off and is reemployed by the University within 24 months following the date of layoff will have the most recent hire date prior to layoff reinstated.
(b) Former regular staff whose employment was terminated for reasons other than layoff will have the most recent date of hire prior to termination reinstated if reemployment occurs within 12 months following the date of termination. Reinstatement includes all of the following:

  " Bridging of service by restoring the most recent hire date in a benefits-eligible position before termination,
  " Restoration of any sick leave balance at the time of termination, and
  " Vacation accrual rate based on the reinstated hire date.

(2) Rehire After Involuntary Discharge
If a regular staff member was terminated from the University for cause, supervisors must consult their local HR Office and Employee Relations Representative before rehire or reinstatement. An individual who was terminated for gross misconduct is not eligible for rehire.
e. Age as a Hiring Factor
Age (except for persons under 18 who have not graduated from high school) may not be used as a factor in hiring unless it can be shown as a necessary job qualification.

f. Employment of Minors
Before an offer of employment is made, the hiring department must obtain a work permit for applicants under age 18 years who have not graduated from high school. A permit is obtained from the applicant's school district and is retained in the department file.

Guide to Supervisors

The Fair Labor Standards Act (FLSA) and California law restricts the hours and conditions of employment for minors. Because restrictions on the employment of minors can be complex, supervisors should consult with their local Human Resources Office about specific cases. General restrictions include:

- Minors ages 16-17: In addition to the above, prohibited from working on hazardous jobs. No other restrictions.
- Minors ages 14-15: In addition to all the above, during school session, cannot work more than 3 hours/day, 18 hours/week. During school vacation, cannot work more than 8 hours/day, 40 hours/week.
- Minors under age 14: In addition to all the above, prohibited from most non-agricultural work.

3. Policies And Practices—Search Phase

a. Job Descriptions
Before a job description is entered into the HRMS Applicant system:

(1) Hiring supervisor's responsibilities: Identify the functions of the job, define and describe the duties and responsibilities of the position, include required regulatory training, develop and document objective criteria for the selection process, and obtain local Human Resources Office's confirmation of position level and salary range. For guidance, visit Staff Compensation. Qualifications cannot unnecessarily prevent or lessen the employment opportunities for any class of applicants or potential applicants, as identified in Section 2.b.
(2) Local Human Resources Office's responsibilities: Reviews the Position Summary for clarity and content, classification and salary range, and ensures that the job description is entered into the HR Applicant
b. Announcing Job Openings

(1) Local Human Resources Offices
All regular staff vacancies must be listed with the appropriate Human Resource office.

  " For SLAC, SLAC Human Resources Department.
  " For campus and School of Medicine, Staffing Services in University Human Resources. Staffing Services
    announces all openings on Stanford Careers [11] and may use other media as appropriate.

(2) Temporary Employment
Non-regular staff vacancies that do not lend themselves to employment of Stanford students due to the nature
of the work and work schedule, may be listed with commercial temporary employment services and/or
StanfordTemps.

(3) Text of Advertisements
Advertising and other notification of vacancies must be non-discriminatory and must include reference to the
University’s commitment to affirmative action and equal opportunity: “Stanford is an equal opportunity
employer and all qualified applicants will receive consideration without regard to race, color, religion, sex,
sexual orientation, gender identity, national origin, disability, veteran status, or any other characteristic
protected by law.”

c. Posting Period

(1) Definition
A posting period is the period during which information about the job opening is made available and
applications are accepted. Generally, postings should not exceed six months except where the hiring
supervisor is actively seeking and reviewing applications for the position.

(2) Length of Posting Period
All vacant positions except those filled through an approved posting period waiver (see Section 3.d for
information on waivers) are posted online for a minimum of 10 calendar days. The posting period begins when
the approved online requisition updates the Applicant Tracking System. Applications are accepted through the
full posting period.

(3) Changes in Posting Period
Posting periods may not be shortened or eliminated except through the waiver process (see Section 3.d).
Posting periods may be extended at the hiring supervisor’s discretion and applications may be accepted
beyond the posting period at the supervisor’s discretion.

(4) Timing of Employment Offer
Offers of employment may not be made until after the expiration of the posting period or an approved waiver
of posting (see Section 3.d).

d. Waiver of Posting
All regular staff vacancies not posted for the minimum posting period must have an approved waiver of
posting before an employment offer can be made. The local Human Resources Office may approve waiver
requests. However, waiver requests for positions falling within job groups with affirmative action goals for the
University must also be approved by the Diversity and Access Office.
Guide to Supervisors

For a list of considerations to help determine if a waiver is appropriate, go to the Staffing Services website.

The local Human Resources Office (HRO) is responsible for following the waiver policy. Every job posting should be reviewed to determine if it is within an EEO job category which is currently underutilized with respect to women and/or minorities for which the University has an affirmative action goal. In addition, approved waivers should meet the approval criteria outlined below. The HRO also ensures that waiver requests do not unduly restrict consideration of individuals with employment preferences, and that Staffing Services receives the waiver documentation in writing (preferably in the applicant tracking system).

The Diversity and Access Office will approve or deny waiver requests for positions that fall within EEO job categories for which Stanford has an affirmative action goal.

Approval Criteria—The hiring department must have consulted with Staffing Services to determine if qualified layoff candidates are available. The hiring department must document the reason for requesting the waiver. The local Human Resources Office may approve the waiver request if:

- The department documents critical operational need, or
- Affirmative action goals are not negatively impacted, or
- There is a uniquely qualified/skilled applicant and it is unlikely a better qualified candidate would apply, or
- The department can reuse a recent (within past six months) applicant pool for a comparable job likely to result in a similar pool.

Denial Criteria—The local Human Resources Office may deny a waiver request if it is determined that the requested action would be inconsistent with the University’s waiver or affirmative action policies.

e. Recruitment of Applicants

(1) Definition of an Applicant

Currently Stanford requires resumes for regular staff positions to be submitted through the Stanford Careers (or Jobs@SLAC) website. Consistent with this requirement, an applicant is defined as any person who meets all of these criteria:

(a) The individual submits a resume for a specific position through the Stanford Careers (or Jobs@SLAC) website,
(b) Stanford considers the individual for employment in a particular position,
(c) The individual's resume indicates the individual possesses the basic qualifications for the position, and
(d) The individual at no time during the selection process prior to receiving an offer of employment removes him/herself from further consideration or otherwise indicates that he/she is no longer interested in the position.

(2) Equal Opportunity Policy

With the assistance of Staffing Services and local Human Resources Office, departments must make every effort to recruit qualified individuals for job openings, keeping in mind the University’s commitment to equal opportunity and affirmative action, and any specific affirmative action goals (as opposed to quotas or preferences, which may not be used) established for specific classifications and departments.
(3) International Recruitment
Please review Section 6, International Hiring, before recruiting or hiring outside of the United States.

(4) Search Firms
In general, Stanford makes limited use of the services of executive search firms or employment agencies. In the instances where it is necessary to use such services (e.g., senior level vacancies), departments must consult with the local Human Resources Office before making any arrangement with an outside firm or agency.

Guide to Supervisors
The hiring supervisor is accountable for assuring that the firm or agency is fully informed with regard to its responsibility for meeting the University's institutional affirmative action and record keeping responsibilities. Consultation on search firms is available from Staffing Services.

For a checklist on how to choose a search firm that meets Stanford’s requirements to provide a widely diverse selection of applicants and the ability to track the applicant pool, go to the Staffing Services [13] website.

Receiving Electronic Resumes—Electronic resumes are submitted to the Office of Staff Employment, using Stanford Careers [11]. Staffing Services will send applications a written acknowledgement that his/her resume has been received. Electronic resumes are submitted to SLAC using Careers at SLAC [14].

4. Employment Decisions, Offers and Documentation

a. Hiring Decisions
Hiring decisions for regular University staff positions are based on the relative job-related qualifications of the applicants for the positions, with full consideration of the employment rights and preferences specified in Section 2.b.

- The hiring supervisor is responsible for judging the relative qualifications of each applicant and for making the hiring decision, consistent with University policy and applicable governmental laws and regulations.
- The local Human Resources Office is responsible for reviewing proposed hiring decisions and for ensuring compliance with regulations, laws, and/or University employment policies.
- The Diversity & Access Office, if notified by an applicant or administrator regarding a particular opening, may delay a proposed hiring action for further review if it appears inconsistent with the University's Affirmative Action Program.

b. Employment Offers

(1) Timing of Offer
Employment offers should be made after:

- The expiration of the posting period (or, after approval of a waiver request),
- The employment action (including in-hire salary) has received all required approvals, and
- Successful completion of the background check, where applicable.
(2) Offer Letter

An offer letter must be issued by the hiring department to the successful applicant using an approved offer letter template. Approved templates include those for standard hires, promotions and out-of-country hires.

Guide to Supervisors

Workplace Accommodation—At the request of a candidate or applicant who has a disability, workplace accommodation may be needed. The hiring supervisor should refer to Guide Memo 2.2.7 [15] : Requesting Workplace Accommodations for Employees with Disabilities.

Out-of-Country—If this is an offer of employment for the applicant to work in a primary site outside the U.S., Staffing Services will assist the local Human Resources Office with arrangements. See Section 6 for details on International Hiring.

c. Documentation and Record Keeping

(1) Pre-Employment
New, transferred, rehired or promoted employees may not start work in the new position until all appropriate forms have been signed and processed.

(2) Non-Selected Applicants

- The hiring supervisor is responsible for oral or written notification to all non-selected applicants who were interviewed.
- The hiring supervisor must ensure that all search summary records are completed, including indication of all applicants’ status (disposition data) in PeopleSoft HRMS (Taleo). For more information, see the Staffing Services [13] website.

(3) Completeness
Records must include the resume and application materials of all applicants for a position, as well as documents pertaining to individuals considered for the position.

(4) Patent and Copyright Agreement
All employees must complete a Patent and Copyright Agreement Form (SU18) as a condition of employment.

(5) Retention Time
The hiring department must retain records relating to a search, selection and employment decision for a minimum of three years following the decision. Should there be a dispute (grievance/litigation), the documents must be retained until the matter is resolved, if not resolved by the conclusion of the three-year period.

(7) More Information
For more information, see the Staffing Services [13] website.

5. International Hiring

Hiring, transfer or assignment of regular staff outside of the United States must be supported by a demonstrable University business purpose, and approved in writing by cognizant School Dean or VP. Copies of such approvals should be forwarded to the Global HR Programs Manager in University Human Resources and the Global Business Director in Business Affairs.
Employees assigned or working outside of the United States are subject to local law as well as University policy and procedures when not in conflict with local law.

Guide to Supervisors

Employment Options—Employment regulations in other countries may be very different than the U.S. In light of operational and regulatory complexities, careful consideration should be given to the various employment options in satisfaction of programmatic requirements, before considering an international hire, transfer or assignment.

- Consult with your HR Manager, and ask for a copy of the International Human Resources Checklist for Stanford University Human Resources Managers.
- See Foreign Activity Operations Guide [16].
- For additional guidance consult the Global Business Infrastructure Group (GlobalBIG), representing cognizant central oversight offices. See Global Roles and Responsibilities [17].
- See Administrative Guide Memo 12.2.22 [18]: International Employees.

Administrative Costs—Assignments outside of the United States typically involve significant additional administrative costs in light of the regulatory and operational complexity involved in such assignments. Accordingly the supervisor hiring or assigning the employee outside of the United States is responsible for ensuring that the added administrative expense of such an assignment is covered by the applicable budget for the duration of the assignment.

Work Authorization—Work authorization and immigration issues may require significant lead-time, and HR managers are responsible for ensuring that appropriate documents are secured prior to foreign employment. The local Human Resources Office cannot process an assignment until the proper work authorization is obtained. All offer letters must be approved by the local Human Resources Office in consultation with the Global HR Programs Manager.

Consulting Arrangements—It should be noted with caution that what may appear to be a consulting arrangement by U.S. standards, could in fact constitute an employment relationship in a foreign country, potentially triggering employment, tax and other regulatory considerations.

In general, the same policies and practices are required for international hires as detailed in the ensuing guidelines for U.S. employment. However, local country norms and requirements take precedence, and it is the responsibility of local HR managers and the supervisor to ensure that the employee is apprised of such requirements prior to the effective date of the assignment. Assignments should be of a limited duration for the purpose of addressing tax, expatriate status and visa considerations.

Additional guidance may be obtained from the Global HR Programs Manager at globalhrprograms@stanford.edu [19], in University Human Resources and/or the Global Business Director in Business Affairs.


Links